

Appendix
(VQF doc. no. 607.02)

Rules of Organisation and Procedure
(AKG, VQF doc. no. 607.01) of the Supervisory Commission of VQF Financial
Services Standards Association

concerning

Procedural costs ("expenses for rulings")
in case of sanctions of the Supervisory Commission

Art. 1 Procedural costs in terms of Art. 13 Abs. 3 lit. d AKG

¹ The expenses for ruling (flat-rate fees) amount to:

- a. Ordinary sanctions proceedings: CHF 2'000.-- up to 4'000.--;
- b. Average sanctions proceedings: CHF 4'000.-- up to 6'000.--;
- c. Above-average sanctions proceedings: CHF 6'000.-- up to 10'000.--.

Art. 2 Entry into Force and Publication

¹ This appendix was approved by the Supervisory Commission of VQF on 1 March 2014. It also applies to pending proceedings of the Supervisory Commission.

² This annex will be published on the website of VQF (www.vqf.ch) on the internet.

Zug, 4 February 2014



Hugo Brücker
Chairman
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Kathrin Scholl
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